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U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

Agent Docket No.	1885-0104009Cnt
First Inventor or Application Identifier	Shunich MATSUSHITA
Title	DEPOLARIZED LASER DIODE MODULE AND DEPOLARIZED LASER DIODE LIGHT SOURCE

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, Virginia 22313
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Sheets <input type="text" value="53"/></p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <input type="text" value="16"/></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <input type="text" value="15"/></p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification or Sequence Listing on :</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>	<p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>16. <input checked="" type="checkbox"/> Other: Letter of Limited Recognition Request for Priority International Search Report</p>
<p>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: PCT/JP02/10526</p> <p>Prior application information: Examiner: Group Art Unit:</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>	
<p><b>18. CORRESPONDENCE ADDRESS</b></p> <p>Kanichiro Yamamoto Furukawa Electric North America, Inc. Tel: (703) 684-4478 Fax: (703) 684-4480 Customer Number:000041646</p>	

Name:	Kanichiro Yamamoto	Registration No.:	Not Given(Limited Recognition attached hereto)
Signature:	<i>Kanichiro Yamamoto</i>	Date:	<i>April 8, 2004</i>

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Agent Docket No. 1885-0104009Cnt

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Shunich MATSUSHITA  
Shu NAMIKI  
Yoshihiro EMORI

SERIAL NO: New Application

FILING DATE: Herewith

FOR: DEPOLARIZED LASER DIODE MODULE AND DEPOLARIZED LASER DIODE LIGHT SOURCE

**FEE TRANSMITTAL**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	86 - 20 =	66	x \$18 =	\$1,188.00
INDEPENDENT CLAIMS	2 - 3 =	0	x \$86 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$290 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$770.00
TOTAL OF ABOVE CALCULATIONS				\$1,958.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
TOTAL				\$1,998.00

- ☐ Please charge Deposit Account No. 502868 in the amount of \$1,958.00 . A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$1,958.00 to cover the filing fee is enclosed.
- ☒ Credit card payment form is attached to cover the filing fee in the amount of \$1,958.00.
- ☒ The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 502868 . A duplicate copy of this sheet is enclosed.

Date:

April 8, 2004

Respectfully Submitted,

Kanichiro Yamamoto

Kanichiro Yamamoto  
under Limited Recognition attached hereto  
Furukawa Electric North America, Inc.  
TEL:(703)684-4478  
FAX:(703)684-4480  
Customer Number:000041646



September 29, 2003

General Counsel  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

Mr. Kanichiro Yamamoto  
FURUKAWA ELECTRIC NORTH AMERICA, INC.  
1800 Diagonal Road  
Suite 600  
Alexandria, VA 22314

Dear Mr. Yamamoto:

This is in response to your "Request for Expanding Scope of Limited Recognition Under 37 CFR § 10.9(b) for Kanichiro Yamamoto" filed September 22, 2003. Your request is granted to the extent you seek to conform your limited recognition to the scope of your visa employment authorization. Thus, although you requested that the language of your limited recognition be expanded only to include "majority-owned" subsidiaries, your limited recognition has been revised to recite "subsidiaries" as set forth in your visa documents.

Your application reveals that you are a citizen of Japan. Documents you furnished reveal that you are a nonimmigrant who is temporarily residing in the United States on an L-1 visa. Your authorization for employment arises under the provisions of 8 CFR § 274a.12(b). The authorization is valid through July 31, 2006. You are authorized to be employed in the United States by Furukawa Electric North America, Inc., the party that submitted the Petition. You are subject to employment restrictions described in one or more sections of Chapter 1 of Title 8 of the Code of Federal Regulations. 8 CFR § 274a.12(b). You may be employed only by Furukawa Electric North America, Inc. for the period authorized by the Bureau of Citizenship and Immigration. Any change in employment or training requires a new petition and approval from the Bureau of Citizenship and Immigration. 8 CFR § 214.1(e). "Any unauthorized employment by a nonimmigrant constitutes a failure to maintain status.

In view of the limitations placed upon you by your visa, it would appear that you would not be free, as a registered practitioner, to be employed by other than your present employer, Furukawa Electric North America, Inc., or to acquire your own clients. You are not being registered as a patent attorney or patent agent. However, you have passed the examination and demonstrated possession of the required technical qualifications. Thus, pursuant to 37 CFR § 10.9(b), you are hereby granted limited recognition until September 29, 2004, to prosecute patent applications in which Furukawa Electric Co. Ltd. or a subsidiary of Furukawa Electric Co. Ltd. is the assignee of the entire right, title and

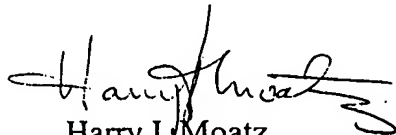
interest in the claimed invention. However, if prior to September 29, 2004, your employer changes, your visa status changes, or you cease to reside in the United States, your limited recognition shall automatically expire.

Limited recognition is granted in maximum increments of one year. If, on August 29, 2004, you continue to legally reside in the United States on an L-1 visa and your employment status has not changed, you may request that your limited recognition be extended. Such a request must be in writing to the Director of Enrollment and Discipline, and should verify your visa status, your employment and your current address.

Consistent with the terms of 37 CFR § 10.11(a), you are required to promptly notify the Director of any change of address. See 37 CFR § 10.23(c)(14).

Enclosed is a master copy of the original document granting you limited recognition. A copy of this document must be filed in each patent application you prosecute. Once the document has been entered into the file of a patent application, you must make reference to it whenever you correspond with the Office during the prosecution of the application. The original document granting limited recognition is on file in the Office of Enrollment and Discipline. If you cease to lawfully reside in the United States, if your visa expires, or you change employers, whichever occurs first, you must promptly file a notice of the same in each patent application in which a copy of the limited recognition has been filed.

Sincerely,

A handwritten signature in dark ink, appearing to read "Harry I. Moatz", is written over the printed name.

Harry I. Moatz

Director of Enrollment and Discipline

encl: Master Copy of Limited Recognition